# NORTH CAROLINA REPORTS

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SUPREME COURT OF NORTH CAROLINA



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RALEIGH 2006 Adopted by the Court in Conference this the 6th day of May, 2004. These amendments shall be promulgated by publication in the Advance Sheets of the Supreme Court and the Court of Appeals. These amendments shall also be published as quickly as practical on the North Carolina Judicial Branch of Government Internet Home Page (http://www.nccourts.org).

Edmunds, J. For the Court

#### IN THE SUPREME COURT OF NORTH CAROLINA

#### Order Adopting Amendments to the North Carolina Rules of Appellate Procedure

Rule 9 of the North Carolina Rules of Appellate is hereby amended as described below:

Rule 9(a) is amended to read as follows:

(a) **Function; Composition of Record.** In appeals from the trial division of the General Court of Justice, review is solely upon the record on appeal and, the verbatim transcript of proceedings, if one is designated, constituted in accordance with this Rule 9.9, and any items filed with the record on appeal pursuant to Rule 9(c) and 9(d). Parties may cite any of these items in their briefs and arguments before the appellate courts.

Rule 9(a)(1)(l) is amended to read as follows:

(1) a statement, where appropriate, that the record of <del>proceedings</del> was made with an electronic recording device.

Rule 9(a) is amended to add new subsection (4):

(4) Exclusion of Social Security Numbers from Record on Appeal. Social security numbers shall be deleted or redacted from any document before including the document in the record on appeal.

Rule 9(b) is amended to read as follows:

**Rule 9(b) Form of Record; Amendments.** The record on appeal shall be in the format prescribed by Rule 26(g) and the appendixes to these rules.

(1) Order of Arrangement. The items constituting the record on appeal should be arranged, so far as practicable, in the order in which they occurred or were filed in the trial tribunal.

(2) Inclusion of Unnecessary Matter; Penalty. It shall be the duty of counsel for all parties to an appeal to avoid including in the record on appeal matter not necessary for an understanding of the errors assigned, such as social security numbers referred to in Rule 9(a)(4). The cost of including such matter may be charged as costs to the party or counsel who caused or permitted its inclusion.

(3) Filing Dates and Signatures on Papers. Every pleading, motion, affidavit, or other paper included in the record on appeal shall show the date on which it was filed and, if verified, the date of verification and the person who verified. Every judgment, order, or other determination shall show the date on which it was entered. The typed or printed name of the person signing a paper shall be entered immediately below the signature.

(4) Pagination; Counsel Identified. The pages of the record on appeal shall be numbered consecutively, be referred to as "record pages" and be cited as " $(R p \___)$ ." Pages of the verbatim transcript of proceedings filed under Rule 9(c)(2) shall be referred to as "transcript pages" and cited as " $(T p \___)$ ." At the end of the record on appeal shall appear the names, office addresses, and telephone numbers of counsel of record for all parties to the appeal.

(5) Additions and Amendments to Record on Appeal. On motion of any party or on its own initiative, the appellate court may order additional portions of a trial court record or transcript sent up and added to the record on appeal. On motion of any party the appellate court may order any portion of the record on appeal or transcript amended to correct error shown as to form or content. Prior to the filing of the record on appeal in the appellate court, such motions may be made by any party to the trial tribunal.

(6) Appeals from Termination of Parental Rights and Juvenile Matters. The record on appeal shall comply with the provisions to protect the confidentiality of juveniles by redacting the juvenile's name and other identifying information as set out in Rule 3(b) from any documents included in the record on appeal.

Rule 9(c) is amended to read as follows:

(c) Presentation of Testimonial Evidence and Other Proceedings. Testimonial evidence, voir dire, and other trial proceedings necessary to be presented for review by the appellate court may be included either in the record on appeal in the form specified in Rule 9(c)(1) or by designating the verbatim transcript of proceedings of the trial tribunal as provided in Rule 9(c)(2) and (c)(3). Where

error is assigned to the giving or omission of instructions to the jury, a transcript of the entire charge given shall be included in the record on appeal. <u>Verbatim transcripts in an appeal of a termination of</u> parental rights or a juvenile matter, as identified by Rule 3(b), shall be submitted to the appellate court in a signed, sealed envelope or other appropriate container on which is noted a case caption that complies with the confidentiality provisions of Rule 3(b), including the District Court case number. The transcript shall be available to the public only with permission from the appellate court.

Rule 9(c)(2) is amended to read as follows:

(2) Designation that Verbatim Transcript of Proceedings in Trial Tribunal Will Be Used. Appellant may designate in the record on appeal that the testimonial evidence will be presented in the verbatim transcript of the evidence in the trial tribunal in lieu of narrating the evidence as permitted by Rule 9(c)(1). Appellant may also designate that the verbatim transcript will be used to present voir dire or other trial proceedings where those proceedings are the basis for one or more assignments of error and where a verbatim transcript of those proceedings has been made. Any such designation shall refer to the page numbers of the transcript being designated. Appellant need not designate all of the verbatim transcript which has been made, provided that when the verbatim transcript is designated to show the testimonial evidence, so much of the testimonial evidence must be designated as is necessary for an understanding of all errors assigned. When appellant has narrated the evidence and trial proceedings under Rule 9(c)(1), the appellee may designate the verbatim transcript as a proposed alternative record on appeal.

Rule 9(c)(3)(c) is amended to read as follows:

(c) in criminal appeals, the district attorney, upon settlement of the record<u>on appeal</u>, shall forward one copy of the settled transcript to the Attorney General of North Carolina; and

Rule 9(d)(1) is amended to read as follows:

(1) *Exhibits.* Maps, plats, diagrams and other documentary exhibits filed as portions of or attachments to items required to be included in the record on appeal shall be included as part of such items in the record on appeal. Where such exhibits are not necessary to an understanding of the errors assigned, they may by agreement of counsel or by order of the trial court upon motion be excluded from the record on appeal. <u>Social security numbers shall be deleted or redacted from exhibits prior to filing the exhibits in the appellate court</u>.

Rule 9(d)(3) is amended to read as follows:

(3) Removal of Exhibits from Appellate Court. All models, diagrams, and exhibits of material placed in the custody of the Clerk of the appellate court must be taken away by the parties within 90 days after the mandate of the Court has issued or the case has otherwise been closed by withdrawal, dismissal, or other order of the Court, unless notified otherwise by the Clerk. When this is not done, the Clerk shall notify counsel to remove the articles forthwith; and if they are not removed within a reasonable time after such notice, the Clerk shall destroy them, or make such other disposition of them as to himthe Clerk may seem best.

These amendments to the North Carolina Rules of Appellate Procedure shall be effective on the 12th day of May, 2004.

Adopted by the Court in Conference this the 6th day of May, 2004. These amendments shall be promulgated by publication in the Advance Sheets of the Supreme Court and the Court of Appeals. These amendments shall also be published as quickly as practical on the North Carolina Judicial Branch of Government Internet Home Page (http://www.nccourts.org).

> Edmunds, J. For the Court

#### IN THE SUPREME COURT OF NORTH CAROLINA

#### Order Adopting Amendments to the North Carolina Rules of Appellate Procedure

Rule 11 of the North Carolina Rules of Appellate is hereby amended as described below:

Rule 11(b) is amended to read as follows:

(b) By Appellee's Approval of Appellant's Proposed Record on Appeal. If the record on appeal is not settled by agreement under Rule 11(a), the appellant shall, within the same times provided, serve upon all other parties a proposed record on appeal constituted in accordance with the provisions of Rule 9. Within  $\frac{2130}{2130}$  days (35 days in capitally tried cases) after service of the proposed record on appeal upon him an <u>appellee, that</u> appellee may serve upon all other parties a notice of approval of the proposed record on appeal, or objections, amendments, or a proposed alternative record on appeal in accordance with Rule 11(c). If all appellees within the times